- 3			Application Number		09/965,423					
FORM  Total Number of Pages in This Submission		Filing Date First Named Inventor Group Art Unit		September 27, 2001 Ali Rihan et al						
						Examiner Name				J4N 2 8
						Attorney Docket Number		0906S-000311		
			ENCLO	SURES (	check all that apply)					
		Fee Transmittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group			
Fee Attached	☐ Drawing	g(s)		Appeal Communication to Board of Appeals and Interferences						
Amendment / Response	Licensir	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition	Petition			Proprietary Information					
1. 1		n to Convert to a ional Application		Status	Status Letter					
		r of Attorney, Revocation ge of Correspondence Address			Other Enclosure(s) (please identify below):					
Express Abandonment Request :		Terminal Disclaimer Request for Refund		Information Disclosure Statement; Form 1449; copies of Cited References; copies of co- pending applications; and						
Information Disclosure Statement		CD, Number of CD(s)			stcard					
Certified Copy of Priority Document(s)	Remar	Remarks  The Commissioner is additional fees that is to Deposit Account I sheet is enclosed.		may be requ	ired under 37 Cl	R 1.16 or 1.17				
Response to Missing Parts/ Incomplete Application										
Response to Missing Parts under 37 CFR 1.52 or 1.53										
SIC	NATURE OF A	PPLICA	NT, ATTORNEY, C	R AGENT						
Firm or Harness, Dick	Harness, Dickey & Pierce, P.L.		Attorney Name Anna M. Budde		Reg. No. 35,085					
Signature Anna	m Bu	dale	_							
Date January 7, 200	)2									
	CERTIFICAT	E OF M	AILING/TRANSMIS	SION						
	ce is being denos	ited with t	the United States Post			an envelope				
addressed to: Commissioner of Pater	nts and Trademar		ington, D.C. 20231, or	facsimile trai	nsmitted to the U.					
hereby certify that this corresponden addressed to: Commissioner of Pater Frademark Office on the date indicate Typed or printed name  Anna M. I	nts and Trademar d below.		ington, D.C. 20231, or	facsimile trai	nsmitted to the U.					

# HE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

09/965,423

Filing Date:

September 27, 2001

Applicant:

Ali Rihan et al

Group Art Unit:

Examiner:

Title:

FAST DRYING CLEARCOAT REFINISH COMPOSITION

Attorney Docket:

0906S-000311

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

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JAN 2 8 2002

TC 1700

PATENT

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

- A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.
- B. Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g)) CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required). B. A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)): 1. See the attached foreign search report. 2. English translations are provided for: 3. Other: C. The following additional information is provided for the Examiner's consideration. CROSS REFERENCE TO RELATED APPLICATION(S) A. X The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. Applicant has included a copy of the application(s) cited below and has listed the Inventor(s), serial number, filing date, and title in the Other Documents section on the attached PTO-1449 Form. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122. Serial No. Filing Date Inventors 09/850,837 May 8, 2001 Emerson Keith Colver et al 09/886,742 June 21, 2001 Emerson Keith Colyer et al THIS IDS IS BEING FILED UNDER

### ٧.

A. X 37 C.F.R. § 1.97(b): (check only one box)

1.\_\_\_\_ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

III.

IV.

2. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. 3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). 4. before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required. B. 37 C.F.R. § 1.97(c): (check only one box) before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution. 1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). 2. \_\_\_ See the certification below. No fee is required. C. \_\_\_\_ 37 C.F.R. § 1.97(d): after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee. 1. \_\_\_\_ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box) The undersigned hereby certifies that:

A. \_\_\_\_ each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. §

1.97(e)(1)); or

VI.

- B. no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
- Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
- VII. PAYMENT OF FEES (check only one box)
  - A. A check in the amount of \$180.00 is enclosed for the above-identified fee.
  - B. Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: January 7, 2002

By: Juna M Budde
Anna M. Budde Reg. No. 35,085

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Serial No. 09/965,423